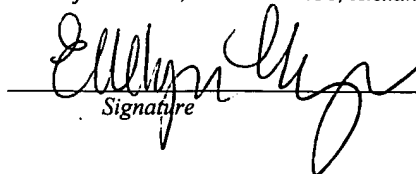


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 24, 2003.

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Signature

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AUG 07 2003

Technology Center 2100

Applicant :Dongyan Wang and Richard Humpleman
Application No.: :09/633,289
Filed :August 4, 2000
Title :DEVICE COMMUNICATION AND CONTROL IN A HOME NETWORK
CONNECTED TO AN EXTERNAL NETWORK
Grp./Div. : 2756
Examiner : To be assigned
Docket No. : SAM1.PAU.68

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Myers Dawes Andras & Sherman LLP
19900 MacArthur Blvd., Suite 1150
Irvine, CA 92612
July 24, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed is FORM PTO-1449.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

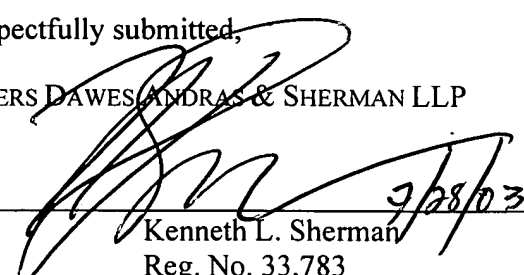
This Information Disclosure Statement is being filed before the issuance of a first Office Action.

Therefore a fee is unnecessary.

Respectfully submitted,

MYERS DAWES ANDRAS & SHERMAN LLP

By:


Kenneth L. Sherman
Reg. No. 33,783
(949) 223-9600



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
SAM1.PAU.68

In Re Application Of: **Richard Humpleman**

Serial No.
09/633,289

Filing Date
August 4, 2000

Examiner
Not yet assigned

Group Art Unit
2756

Title:

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

AUG 04 2003

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

SAM1.PAU.68

Re Application Of: Richard Humpleman

Serial No.

09/633,289

Filing Date

August 4, 2000

Examiner

Not yet assigned

Group Art Unit

2756

Title:

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Technology Center 2100

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$0.00 is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 01-1960 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

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(Date)

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Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

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Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

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Dated:

7/28/03

Signature

CC: